Guidance Documents: Key Legislation for Consenting in Wales

The guidance documents as a whole are intended to be available for regulators and advisors as they carry out their decision-making and for developers and their consultants as they prepare consenting and licensing applications. This country-specific document presents an overview of key consenting requirements relevant for marine renewable energy development in Wales from pre-application, through to application and post-consent and is intended mainly for developers and consultants¹. It is not intended to replace any formal guidance or prescribe action, but rather provide a starting point for understanding the key requirements of the regulatory framework.

Wales regulatory context

Regulatory responsibility for marine renewable energy (MRE) in the Welsh inshore (0 - 12 nm) and offshore (12 - 200 nm, or the median line) zones falls to the Welsh Ministers and/or Natural Resources Wales (NRW), depending on project size (MW) and type of consent required. In Welsh offshore waters, the Joint Nature Conservation Committee (JNCC) is the statutory nature conservation body (SNCB). Seabed leases, required to obtain development rights, are also required from The Crown Estate who owns most of the seabed around Wales. The primary consents and permissions for MRE projects in inshore and offshore Welsh waters² are shown in Table 1. Additional details on receptor- and impact-specific legislation and further guidance are provided in later sections of this document.

Project size	Consent/Permission	Issuing Authority
< 1 MW	Marine Licence issued under Part 4 of Marine and Coastal	Natural Resources
	Access Act 2009 (as amended by the Wales Act 2017).	Wales
1 MW –	Marine Licence issued under Part 4 of Marine and Coastal	Natural Resources
350 MW	Access Act 2009 (as amended by the Wales Act 2017).	Wales
	Section 36 Energy Consent issued under the Electricity Act	Welsh Ministers
	1989 (as amended by the Wales Act 2017).	
Over 350	Marine Licence issued under Part 4 of Marine and Coastal	Natural Resources
MW	Access Act 2009 (as amended by the Wales Act 2017)	Wales
	Development Consent Order (Planning Act 2008) (as	Natural Resources
	amended by Wales Act 2017)	Wales, Secretary of
		State, Local Planning
		Authority
Any size	Consent under the Marine Works (Environmental Impact	Natural Resources
	Assessment) Regulations 2017 if significant environmental	Wales
	effects are likely such that an Environmental Impact	

Table 1. Primary consents and permissions, as well as the legislation and associated authority, for MRE energy projects in Welsh waters (inshore or offshore).³

³ Note that this information is not exhaustive and other legislation is likely to apply depending on project details and location. This guidance document provides a broad overview of key consenting requirements.



¹ This country-specific document should be read in conjunction with the background guidance document, which can be found on *Tethys*: <u>https://tethys.pnnl.gov/guidance-documents</u>.

² Guidance: <u>Natural Resources Wales / Overview of marine renewable energy consenting in Welsh waters</u>

	Assessment (EIA) ⁴ is required. Includes an assessment of the effects of the project on species, marine habitats, water quality and physical processes, and social and economic effects.	
	Consent under the Electricity Act (Environmental Impact Assessment) Regulations 2017 <u>if significant environmental</u> <u>effects are likely</u> such that an Environmental Impact Assessment (EIA) is required. Includes an assessment of the effects of the project on species, marine habitats, water quality and physical processes, and social and economic effects.	Welsh Ministers
Any size	Agreement for Lease under the Crown Estate Act 1961 granting a developer an option over an area of seabed, allowing full development rights if all Project consents are secured. Seabed Lease under the Crown Estate Act 1961 once all project consents and licences have been secured.	The Crown Estate

Species and/or populations at risk

Potential effects to species and/or populations in Wales are regulated by NRW following the key legislation detailed in Table 2.

Table 2. Key legislation related to protecting species and/or populations in Wales and links to further information and guidance⁵.

Legislation	Details
Conservation of	Define the process for determining if effects on Annex II species features of
Habitats and	European protected sites are likely (Test of Likely Significant Effect) and, where
Species Regulations	needed, appropriate assessment.
2017 (and offshore	Define the process for determining whether a licence to injure, kill, or disturb
equivalent)	"European Protected Species" is required. ⁶
Wildlife and	Define the process for determining whether notified species features of Sites
Countryside Act	of Special Scientific Interest (SSSI) and Protected Plants and Species may be
1981	affected by a project. ⁷
Environment	Lists species of principal importance for conserving biodiversity in Wales which
(Wales) Act 2016	must be considered in consent applications including EIA, where required.

⁷ Guidance: <u>Natural Resources Wales / Sites of special scientific interest: responsibilities of owners and occupiers;</u> <u>Natural Resources Wales / Sites of special scientific interest: responsibilities of public bodies and statutory</u> <u>undertakers</u>



⁴ Guidance for EIAs: <u>Natural Resources Wales / Scoping an Environmental Impact Assessment for marine</u> <u>developments; Natural Resources Wales / Marine renewable energy developments</u>

⁵ Guidance: <u>Natural Resources Wales / Marine vertebrate conservation legislation in Wales</u>

⁶ Guidance: <u>Natural Resources Wales / Marine European Protected Species licensing</u>

Habitat alteration or loss

Potential effects to habitat in Wales are regulated by NRW following the key legislation detailed in Table 3.

Table 3. Key legislation related to habitat alteration or loss in Wales and links to further information and guidance.

Legislation	Details
Conservation of	Define the process for determining if effects on Annex I habitat features of
Habitats and	European protected sites are likely (Test of Likely Significant Effect) and, where
Species Regulations	needed, appropriate assessment. ⁸
2017 (and offshore	
equivalent)	
Wildlife and	Define the process for determining whether notified habitat features of Sites of
Countryside Act	Special Scientific Interest (SSSI) may be affected by a Project. ⁹
1981	
Environment	Lists marine habitat of principal importance for conserving biodiversity in
(Wales) Act 2016	Wales which must be considered in consent applications including EIA, where
	required.

Effects on water quality

Potential effects on water quality in Wales are regulated by NRW following the key legislation detailed in Table 4.

Table 4. Key legislation related to effects on water quality in Wales and links to further information and guidance.

Legislation	Details
The Water	Defines when a Water Framework Directive (WFD) compliance assessment is
Environment (Water	required and the assessment process.
Framework Directive)	If required, applicants must carry out a WFD assessment to accompany their
(England and Wales)	licence application(s). ¹⁰
Regulations 2017	

Effects on social and economic systems

Potential effects on social and economic systems in Wales are regulated by NRW following the key legislation detailed in Table 5.

Table 5. Key legislation related to effects on social and economic systems in Wales and links to further information and guidance.

Legislation	Details

⁸ Guidance: <u>Natural Resources Wales / Marine European Protected Species licensing</u>

<u>undertakers</u>

¹⁰ Guidance: <u>Water Framework Directive assessment: estuarine and coastal waters - GOV.UK (www.gov.uk)</u>



⁹ Guidance: <u>Natural Resources Wales / Sites of special scientific interest: responsibilities of owners and occupiers;</u> <u>Natural Resources Wales / Sites of special scientific interest: responsibilities of public bodies and statutory</u>

The Water	Defines when a Water Framework Directive (WFD) compliance assessment is
Environment (Water	required and the assessment process.
Framework Directive)	If required, such as for freshwater concerns like flood risk or contamination
(England and Wales)	to the water supply, applicants must carry out a WFD assessment to
Regulations 2017	accompany their licence application(s). ¹¹

Additional Information and key relevance for MRE consenting

Regulatory Guidance

Table 6. Legislation which consenting authorities and applicants must act in accordance with or have
regard to when consenting MRE projects ¹² .

Legislation	Implications for MRE consenting
Welsh National Marine Plan ¹³	Sets the policy for sustainable use of Welsh seas for 20 years from
	2019. Public authorities must make decisions based on the policies
	in the Welsh National Marine Plan.
Marine	Part 3 (Marine Planning) requires that MRE consent applications and
and Coastal Access Act 2009	decisions are made in accordance with relevant marine policy
(as amended by the Wales Act	documents unless relevant considerations indicate otherwise.
2017)	Part 4 (Marine Licensing) sets out the requirement for Marine
	Licences and the application and determination (decision-making)
	process.
Well-being of Future	MRE consent applications and decisions must be made in
Generations (Wales) Act 2015	accordance with the Sustainable Development and Wellbeing Goals
	for Wales.
Environment (Wales) Act 2016	Section 6 of the Act states that a public authority must seek to
	maintain and enhance biodiversity when making consent decisions
	for MRE Projects in Wales.
	Section 7 of the Act lists species and habitats of principal importance
	for conserving biodiversity in Wales. MRE consent applications and
	decisions must demonstrate that all reasonable steps have been
	taken to maintain and enhance these habitats and species.
Wildlife and Countryside Act	MRE consent applications and decisions must be made in
1981	accordance with measures to protect the notified features of Sites of
	Special Scientific Interest (SSSI) in Wales and nationally protected
	species listed under Schedule 5 of the Act.

Marine Spatial Planning

• The Marine and Coastal Access Act 2009 outlines how marine planning should be approached, through Marine Policy Statements and Marine Plans

¹³ Welsh National Marine Plan: <u>https://gov.wales/marine-planning</u>



¹¹ Guidance: <u>Water Framework Directive assessment: estuarine and coastal waters - GOV.UK (www.gov.uk)</u>

¹² Guidance: Natural Resources Wales / Sustainable management of Welsh Waters, including the Marine Protected Area Network

- Welsh National Marine Plan (WNMP)¹⁴ –the framework for the Welsh seas to be clean, healthy, safe, productive and biologically diverse with a 20-year view from its publication in 2019. It outlines a vision for the future of the Welsh inshore and offshore marine area.
 - MRE Project applications and consent decisions to be made in accordance with policies in the WNMP.

Adaptive Management

Adaptive management is a tool used in Wales to allow MRE developments to potentially be consented when the environmental effects are not well understood.

- Guidance on using adaptive management for marine development in Wales is available at
 - o Natural Resources Wales / Using adaptive management for marine developments
 - <u>Natural Resources Wales / Applying for a marine licence for projects using adaptive m</u> <u>management or project phasing</u>

Relevant industry stakeholders and networks

- Marine Energy Wales (MEW)¹⁵ brings together technology developers, the supply chain, academia, and the public sector to establish Wales as a global leader in sustainable MRE generation and has strong links to Welsh Government and local councils. MEW's website provides support to MRE developments including information on supply chain, planning and consenting processes, funding, and education. MEW also offers facilitation services to developers and those interested in MRE.
- The Consenting Strategic Advisory Group (CSAG) was formed to collaborate on identifying and overcoming barriers to the efficient and timely licensing of MRE in Wales. The group includes MEW as the secretariat as well as MEW members, NGOs, and NRW technical specialists. The Science and Evidence Group is a subgroup of the CSAG which reviews the data and information needs for MRE projects in Wales to provide advice to CSAG¹⁶.
- Offshore Renewables Joint Industry Programme (ORJIP) Ocean Energy¹⁷ is an advisory and network programme. The programme aims to ensure that the principal EIA and Habitats Regulations Assessment consenting risks for early array deployments in the wave and tidal sectors are addressed by facilitating a strategic, coordinated, and prioritised approach to monitoring and research which is endorsed by industry, regulators, and Statutory Nature Conservation Bodies. ORJIP Ocean Energy is sponsored by The Crown Estate, Marine Scotland, Welsh Government, Scottish Natural Heritage, Natural Resources Wales, and Crown Estate Scotland.

¹⁷ <u>http://www.orjip.org.uk/oceanenergy/about</u>



¹⁴ Welsh National Marine Plan: <u>https://gov.wales/marine-planning</u>

¹⁵ <u>https://www.marineenergywales.co.uk/</u>

¹⁶ CSAG Terms of Reference available here: <u>https://gov.wales/terms-reference-2</u>